Broadridge

Making every shareholder vote count

Be part of the global proxy revolution

Ready for Next

Investment communications are changing

Investment priorities are evolving. Investors are demanding greater transparency, risk-balanced financial returns and more of a say in how the companies they invest in operate.

Underpinning this change is good corporate governance. Institutional investors recognise the benefits of investing in companies that maintain strong corporate governance codes and the need to act as an effective steward for their end-investors.

Regulation is also placing increasing importance on effective investor communications and its impact on corporate governance. Rules and codes of practice such as the updated Shareholder Rights Directive (SRD) in Europe will introduce new requirements around director remuneration, shareholder rights and transparency for institutional investors, asset managers and proxy advisors.

Technology is evolving, as are customer behaviours. Demand for personalised and on-demand investor communications via multiple devices is growing. Innovative technologies such as blockchain are being experimented with to understand how issuers and shareholders can better interact and communicate.

In this fast-evolving industry, the role of shareholder voting is now central to the investor communications chain. General meetings provide an opportunity for investors to hold companies to account over performance, weak ethical practices and ultimately help drive good corporate governance.

For this to work, shareholder voting has to be fast, accurate and reconcilable across the shareholder communication value chain. At Broadridge, we understand that every vote counts and we are committed to transforming the proxy voting process.

Let us show you what's next.

Unrivalled proxy voting technology and infrastructure

An end-to-end solution

Broadridge provides comprehensive, end-to-end support through the voting process for all institution types, helping to join the dots between issuers and investors. Our secure, state-of-the-art platform supports every part of the proxy lifecycle and enables shareholder participation through a streamlined, intuitive and online experience.

Direct sourcing of meeting agendas in local markets – across North and South America, EMEA and Asia Pacific, we can remove the complexity of sourcing meeting notifications and agendas.

Agenda translation and distribution – distribution of notifications and agendas, translated into the English language where required, through a choice of online and branded delivery tools, including via third party proxy advisors.

Entitlement calculations – fully reconciled, beginning on notification date through record date with the latest account positions.

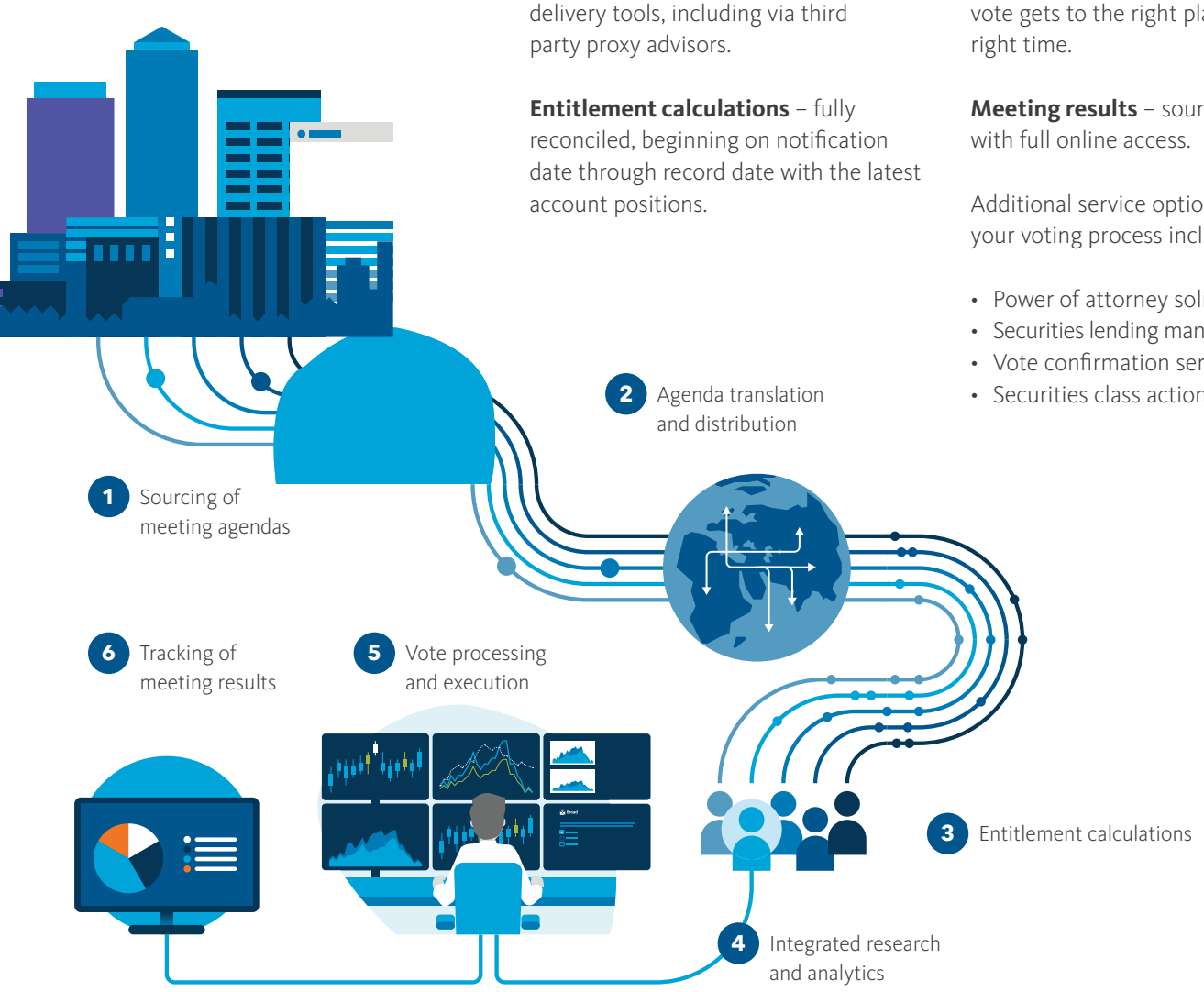
Integrated research and analytics – freedom of choice through seamless access to your preferred sources of independent voting research and analytics. Direct access to third party vendors and Broadridge analytics.

Vote processing and execution – cross-border and local market solution that improves the efficiency and cost effectiveness of vote execution and meeting attendance, ensuring every vote gets to the right place, at the right time.

Meeting results – sourced and tracked with full online access.

Additional service options to support your voting process include:

- Power of attorney solicitation services
- Securities lending management services
- Vote confirmation services
- Securities class actions services



WITH OUR SOLUTION, VOTING IS:

FAST
Delivering information to investors earlier, giving them more time to research and make decisions about how they want to vote.

TRANSPARENT
Ensuring that investors have complete understanding as to where their vote is in the process, ultimately culminating in a vote confirmation where available.

ACCURATE
Powerful processing ensures that millions of votes are counted correctly and records properly reconciled every day.

COST EFFICIENT
Leveraging our global infrastructure provides industry-leading economies of scale, delivering operational and cost efficiencies.

REDUCED RISK
The process is highly automated, secure and ISO 27001 certified, and with the complete process having a near 0% error rate.

INNOVATIVE
Broadridge's significant investments, including leadership in blockchain technology, enable our clients to remain at the forefront of proxy service innovation.

LEADING THE REVOLUTION IN PROXY VOTING
A blockchain case study

Broadridge remains at the forefront of shareholder voting innovation. We have developed a blockchain-based capability for global proxy voting, operationally proven through collaboration with a network of issuers, their agents, institutional investors and global custodians.

Using blockchain, voting is faster, more transparent and highly accurate; ultimately supporting more engaged investor communications and facilitating stronger levels of corporate governance.

"Using blockchain technology for the institutional vote has been a great help in terms of transparency and agility across the vote lifecycle."

BANCO SANTANDER

We are here to help you navigate

Broadridge's unrivalled proxy voting infrastructure sits at the heart of the shareholder voting network, and gives us a unique vantage point trusted by financial institutions globally.

110+

Markets we operate in

30yrs

Proxy voting infrastructure established for 30 years

~100%

Near 100% client retention

50+

Leading global custodians rely on our solutions

50yrs

Trusted partner for financial institutions for over 50 years

24h/7

Exceptional worldwide services support

The Broadridge difference

Account management and client service excellence
Best-in-class client services from full-time dedicated account teams based in the same timezones where our clients are located

Delivery of operational and cost efficiencies
Fully automated, award-winning solutions for proxy distribution and vote processing infrastructure

Advanced, leading solutions
Significant, ongoing investments in technology and resources to help firms meet regulatory requirements and prepare for market change

Committed global market leadership
Supporting proxy processing for 30 years, with ISO 27001 certified operations and fully audited by external firms

Comprehensive breadth of additional service choices
A wide range of support for all your institutional clients' needs

Highest standards of cybersecurity and business continuity
Minimise the risk of data, compliance and reputational risk through our robust security and business continuity model

Neutral and transparent
Broadridge is a neutral and independent service provider, committed to bringing transparency to the shareholder voting network

Broadridge, a global fintech leader with $4 billion in revenue, provides communications, technology, data and analytics solutions. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimising efficiency and generating revenue growth.

broadridge.com

Ready for Next

Communications
Technology
Data and Analytics

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